# CLEF 2009 Ad Hoc Track Overview: TEL and Persian Tasks

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**Abstract.** The design of the 2009 Ad Hoc track was to a large extent a repetition of the previous year's track, with the same three tasks: Tel@CLEF, Persian@CLEF, and Robust-WSD. In this first of the two track overviews, we describe the objectives and results of the TEL and Persian tasks and provide some statistical analyses.

## 1 Introduction

From 2000 - 2007, the Ad Hoc track at CLEF exclusively used collections of European newspaper and news agency documents<sup>1</sup>. In 2008 it was decided to change the focus and to introduce document collections in a different genre (bibliographic records from The European Library - TEL<sup>2</sup>) and in a non-European language (Persian), and an IR task that would appeal to the NLP community (robust retrieval on word-sense disambiguated data). The 2009 Ad Hoc track has been to a large extent a repetition of the previous year's track, with the same three tasks: Tel@CLEF, Persian@CLEF, and Robust-WSD. An important objective of this two-year period of activity has been to ensure that for each task a good reusable test collections has been created. In this first of the two Ad Hoc track overviews we describe the organisation and results of the TEL and Persian tasks.

**TEL@CLEF:** This task offered monolingual and cross-language search on library catalogs. It was organized in collaboration with The European Library and used three collections derived from the catalogs of the British Library, the Bibliothéque Nationale de France and the Austrian National Library. Hardly surprisingly, these collections contained records in many languages in addition to the expected English, French or German. The aim of the task was to identify the most effective retrieval technologies for searching this type of very sparse multilingual data. It presumed a user with a working knowledge of these three languages who wants to find documents that can be useful via one of the three target catalogs.

<sup>&</sup>lt;sup>1</sup> In these eight years, this track built up test collections for monolingual and cross language system evaluation in 14 European languages.

<sup>&</sup>lt;sup>2</sup> See http://www.theeuropeanlibrary.org/

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Persian@CLEF: This activity was coordinated again this year in collaboration with the Database Research Group (DBRG) of Tehran University. We chose Persian as our first non-European language target collection for several reasons: its challenging script (a modified version of the Arabic alphabet with elision of short vowels) written from right to left; its complex morphology (extensive use of suffixes and compounding); its political and cultural importance. A more detailed description of the specific characteristics of the Persian language and the challenges it poses for information retrieval are given in [13]. The task used the Hamshahri corpus of 1996-2002 newspapers as the target collection and was organised as a traditional ad hoc document retrieval task. Monolingual and cross-language (English to Persian) tasks were offered.

In the rest of this paper we present the task setup, the evaluation methodology and the participation in the two tasks (Section 2). We then describe the main features of each task and show the results (Sections 3 and 4). The final section provides a brief summing up. For information on the various approaches and resources used by the groups participating in the two tasks and the issues they focused on, we refer the reader to the papers in the relevant Ad Hoc sections of these Proceedings or in the CLEF 2009 Working Notes<sup>3</sup>.

## 2 Track Setup

As is customary in the CLEF Ad Hoc track, we adopted a corpus-based, automatic scoring method for the assessment of the performance of the participating systems, based on ideas first introduced in the Cranfield experiments in the late 1960s [5]. The tasks offered are studied in order to effectively measure textual document retrieval under specific conditions. The test collections are made up of documents, topics and relevance assessments. The topics consist of a set of statements simulating information needs from which the systems derive the queries to search the document collections. Evaluation of system performance is then done by judging the documents retrieved in response to a topic with respect to their relevance, and computing the recall and precision measures. The pooling methodology is used in order to limit the number of manual relevance assessments that have to be made. As always, the distinguishing feature of CLEF is that it applies this evaluation paradigm in a multilingual setting. This means that the criteria normally adopted to create a test collection, consisting of suitable documents, sample queries and relevance assessments, have been adapted to satisfy the particular requirements of the multilingual context. All language dependent tasks such as topic creation and relevance judgment are performed in a distributed setting by native speakers. Rules are established and a tight central coordination is maintained in order to ensure consistency and coherency of topic and relevance judgment sets over the different collections, languages and tracks.

#### 2.1 The Documents

As mentioned in the Introduction, the two tasks used different sets of documents.

<sup>&</sup>lt;sup>3</sup> See http://www.clef-campaign.org/

The TEL task used three collections:

- British Library (BL); 1,000,100 documents, 1.2 GB;
- Bibliothéque Nationale de France (BNF); 1,000,100 documents, 1.3 GB;
- Austrian National Library (ONB); 869,353 documents, 1.3 GB.

We refer to the three collections (BL, BNF, ONB) as English, French and German because, in each case, this is the main and expected language of the collection. However, as has been mentioned, each of these collections is to some extent multilingual and contains documents (catalog records) in many additional languages.

The TEL data is very different from the newspaper articles and news agency dispatches previously used in the CLEF ad hoc track. The data tends to be very sparse. Many records contain only title, author and subject heading information; other records provide more detail. The title and (if existing) an abstract or description may be in a different language to that understood as the language of the collection. The subject heading information is normally in the main language of the collection. About 66% of the documents in the English and German collection have textual subject headings, while only 37% in the French collection. Dewey Classification (DDC) is not available in the French collection; negligible (<0.3%) in the German collection; but occurs in about half of the English documents (456,408 docs to be exact).

Whereas in the traditional ad hoc task, the user searches directly for a document containing information of interest, here the user tries to identify which publications are of potential interest according to the information provided by the catalog card. When we designed the task, the question the user was presumed to be asking was "Is the publication described by the bibliographic record relevant to my information need?"

The Persian task used the Hamshahri corpus of 1996-2002 newspapers as the target collection. This corpus was made available to CLEF by the Data Base Research Group (DBRG) of the University of Tehran. Hamshahri is one of the most popular daily newspapers in Iran. The Hamshahri corpus consists of 345 MB of news texts for the years 1996 to 2002 (corpus size with tags is 564 MB). This corpus contains more than 160,000 news articles about a variety of subjects and includes nearly 417,000 different words. Hamshahri articles vary between 1KB and 140KB in size<sup>4</sup>.

## 2.2 Topics

Topics in the CLEF ad hoc track are structured statements representing information needs. Each topic typically consists of three parts: a brief "title" statement; a one-sentence "description"; a more complex "narrative" specifying the relevance assessment criteria.

For the TEL task, a common set of 50 topics was prepared in each of the 3 main collection languages (English, French and German) plus this year also in

<sup>&</sup>lt;sup>4</sup> For more information, see http://ece.ut.ac.ir/dbrg/hamshahri/

Chinese, Italian and Greek in response to specific requests. Only the Title and Description fields were released to the participants. The narrative was prepared to provide information for the assessors on how the topics should be judged but was not released to the participants. The topic sets were prepared on the basis of the contents of the collections.

In ad hoc, when a task uses data collections in more than one language, we consider it important to be able to use versions of the same core topic set to query all collections. This makes it easier to compare results over different collections and also facilitates the preparation of extra topic sets in additional languages. However, it is never easy to find topics that are effective for several different collections and the topic preparation stage requires considerable discussion between the coordinators for each collection in order to identify suitable common candidates. The sparseness of the data makes this particularly difficult for the TEL task and leads to the formulation of topics that are quite broad in scope so that at least some relevant documents could be found in each collection. A result of this strategy is that there tends to be a considerable lack of evenness of distribution in relevant documents. For each topic, the results expected from the separate collections can vary considerably. An example of a CLEF 2009 TEL topic in six languages is given in Figure 1.

For the Persian task, 50 topics were created in Persian by the Data Base Research group of the University of Tehran, and then translated into English. The rule in CLEF when creating topics in additional languages is not to produce literal translations but to attempt to render them as naturally as possible. This was a particularly difficult task when going from Persian to English as cultural differences had to be catered for. An example of a CLEF 2009 Persian topic in English and Farsi is given in Figure 2.

#### 2.3 Relevance Assessment

The number of documents in large test collections such as CLEF makes it impractical to judge every document for relevance. Instead approximate recall values are calculated using pooling techniques. The results submitted by the groups participating in the ad hoc tasks are used to form a pool of documents for each topic and language by collecting the highly ranked documents from selected runs according to a set of predefined criteria. One important limitation when forming the pools is the number of documents to be assessed. Traditionally, the top 100 ranked documents from each of the runs selected are included in the pool; in such a case we say that the pool is of depth 100. This pool is then used for subsequent relevance judgments. After calculating the effectiveness measures, the results are analyzed and run statistics produced and distributed. The stability of pools constructed in this way and their reliability for post-campaign experiments is discussed in [3] with respect to the CLEF 2003 pools.

The main criteria used when constructing the pools in CLEF are:

 favour diversity among approaches adopted by participants, according to the descriptions that they provide of their experiments;

```
<?xml version="1.0" encoding="UTF-8" standalone="no"?>
<topic>
    <identifier>10.2452/711-AH</identifier>
   <title lang="zh">深海生物</title>
   <title lang="en">Deep Sea Creatures</title>
    <title lang="fr">Créatures des fonds océaniques</title>
    <title lang="de">Kreaturen der Tiefsee</title>
    <title lang="el">Πλάσματα στα βάθη των ωκεανών</title>
    <title lang="it">Creature delle profondità oceaniche</title>
    <description lang="zh">
        查找有关世界上任何深海生物的出版物。
    </description>
    <description lang="en">
       Find publications about any kind of life in the depths
       of any of the world's oceans.
    </description>
    <description lang="fr">
       Trouver des ouvrages sur toute forme de vie dans les
       profondeurs des mers et des océans.
    </description>
    <description lang="de">
       Finden Sie Veröffentlichungen über Leben und
       Lebensformen in den Tiefen der Ozeane der Welt.
    </description>
    <description lang="el">
        Αναζήτηση δημοσιεύσεων για κάθε είδος ζωής στα
        βάθη των ωκεανών
    </description>
    <description lang="it">
       Trova pubblicazioni su qualsiasi forma di vita nelle
       profondità degli oceani del mondo.
    </description>
</topic>
```

Fig. 1. Example of TEL topic

- for each task, include at least one experiment from every participant, selected from the experiments indicated by the participants as having highest priority;
- ensure that, for each participant, at least one mandatory title+description experiment is included, even if not indicated as having high priority;
- add manual experiments, when provided;
- for bilingual tasks, ensure that each source topic language is represented.

From our experience in CLEF, using the tools provided by the DIRECT system [1], we find that for newspaper documents, assessors can normally judge from 60 to 100 documents per hour, providing binary judgments: relevant / not relevant. Our estimate for the TEL catalog records is higher as these records are much shorter than the average newspaper article (100 to 120 documents per hour). In both cases, it is clear that human relevance assessment is a time-consuming and

```
<?xml version="1.0" encoding="UTF-8" standalone="no"?>
<topic>
    <identifier>10.2452/641-AH</identifier>
    <title lang="en">Pollution in the Persian Gulf</title>
    <title lang="fa">وضعيت آلودگي درياي خليج فارس</title>
    <description lang="en">
        Find information about pollution in the Persian Gulf and the causes.
    </description>
    <description lang="fa">
        بررسي وضعيت درياي خليج فارس از نظر آلودگي و عوامل آن
    </description>
    <narrative lang="en">
        Find information about conditions of the Persian Gulf with respect to
        pollution; also of interest is information on the causes of pollution
        and comparisons of the level of pollution in this sea against that of
        other seas.
    </narrative>
    <narrative lang="fa">
        يافتن اطلاعاتي در مورد وضعيت آلودگي درياي خليج فارس و بررسي عوامل ايجاد آل
        ودگي در اين دريا و اطلاعاتي نظير مقايسه آن با ساير درياها
</topic>
```

Fig. 2. Example of Persian topic

resource expensive task . This limitation impacts strongly on the application of the criteria above - and implies that we are obliged to be flexible in the number of documents judged per selected run for individual pools.

This year, in order to create pools of more-or-less equivalent size, the depth selected for the TEL English, French, and German pools was  $60^5$ . For each collection, we included in the pool two monolingual and one bilingual experiment for every participant, plus any documents assessed as relevant during topic creation. As we only had a relatively small number of runs submitted for Persian, we were able to include documents from all experiments, and the pool was created with a depth of 80.

These pool depths were the same as those created in the previous year. Given the resources available, it was not possible to manually assess more documents. For the CLEF 2008 ad hoc test collections, Stephen Tomlinson reported some sampling experiments aimed at estimating the judging coverage [9]. He found that this tended to be lower than the estimates he produced for the CLEF 2007 ad hoc collections. With respect to the TEL collections, he estimated that at best 50% to 70% of the relevant documents were included in the pools - and that most of the unjudged relevant documents were for the 10 or more queries that had the most known answers. Tomlinson has repeated these experiments for the 2009 TEL and Persian data [10]. Although for two of the four languages concerned (German and Persian), his findings were similar to last year's estimates, for the

<sup>&</sup>lt;sup>5</sup> Tests made on NTCIR pools in previous years have suggested that a depth of 60 is normally adequate to create stable pools, presuming that a sufficient number of runs from different systems have been included.

other two languages (English and French) this year's estimates are substantially lower.

With respect to Tomlinson's analyses, the different nature of the TEL document collections with respect to the "traditional" newspaper collections used in CLEF up to 2007 must be remembered. Although the TEL documents tend to be very sparse they can vary considerably, ranging from very short catalog records to quite long records with full abstracts of the related publications. Moreover, as already stated, each collection is inherently multilingual, and this means that for any topic there may be relevant documents in several languages. This complicates pool construction and the assessment activity because, for example, for the English collection you might have relevant documents for a given topic also in Czech and Hungarian. On the other hand this also makes the task more challenging for the systems: if they focus only on the main language of a collection they are going to target about the 60%-70% of the documents in the collections, leaving out a 30%-40% of potentially relevant documents. This, in turn, will impact the pools created from those systems. If we are to continue to use the pooling technique for this type of collection, we need to do some more exhaustive manual searches in order to boost the pools with respect to relevant documents. We also need to consider more carefully other techniques for relevance assessment in the future such as, for example, the method suggested by Sanderson and Joho [8] or Mechanical Turk [2].

The problem noted with the Persian pool may well be a consequence of the poor participation in this task in 2009. In order to create a stable test collection, you need a good number of runs from systems using different IR models and techniques.

Table 1 reports summary information on the 2009 ad hoc pools used to calculate the results for the main monolingual and bilingual experiments. For each pool, we show the number of topics, the number of runs submitted, the number of runs included in the pool, the number of documents in the pool (relevant and non-relevant), and the number of assessors.

The box plot of Figure 3 compares the distributions of the relevant documents across the topics of each pool for the different ad hoc pools; the boxes are ordered by decreasing mean number of relevant documents per topic.

Figure 4 compares, for each topic, the number of relevant documents in each of the CLEF 2009 TEL collections. We see that French and German distributions appear similar and are slightly asymmetric towards topics with a greater number of relevant documents while the English distribution is slightly asymmetric towards topics with a lower number of relevant documents. All the distributions show some upper outliers, i.e. topics with a greater number of relevant document with respect to the behaviour of the other topics in the distribution. These outliers are probably due to the fact that CLEF topics have to be able to retrieve relevant documents in all the collections; therefore, they may be considerably broader in one collection compared with others, depending on the contents of the separate datasets. As can be seen in the figure, there are very few cases of topics with almost the same number of relevant documents in all the collections.

Table 1. Summary information on CLEF 2009 pools

TEL English Pool (DOI 10.2454/AH-TEL-ENGLISH-CLEF2009)						
	26,190 pooled documents					
Pool size	- 23,663 not relevant documents - 2,527 relevant documents					
	50 topics					
	31 out of 89 submitted experiments					
Pooled Experiments	<ul> <li>monolingual: 22 out of 43 submitted experiments</li> <li>bilingual: 9 out of 46 submitted experiments</li> </ul>					
Assessors	4 assessors					
TEL Fren	ch Pool (DOI 10.2454/AH-TEL-FRENCH-CLEF2009)					
	21,971 pooled documents					
Pool size	- 20,118 not relevant documents - 1,853 relevant documents					
	50 topics					
	21 out of 61 submitted experiments					
Pooled Experiments	<ul> <li>monolingual: 16 out of 35 submitted experiments</li> <li>bilingual: 5 out of 26 submitted experiments</li> </ul>					
Assessors	1 assessor					
TEL Gern	nan Pool (DOI 10.2454/AH-TEL-GERMAN-CLEF2009)					
	25,541 pooled documents					
Pool size	- 23,882 not relevant documents - 1,559 relevant documents					
	50 topics					
l	21 out of 61 submitted experiments					
Pooled Experiments	•					
Pooled Experiments Assessors	- monolingual: 16 out of 35 submitted experiments					
Assessors	<ul> <li>monolingual: 16 out of 35 submitted experiments</li> <li>bilingual: 5 out of 26 submitted experiments</li> </ul>					
Assessors	<ul> <li>monolingual: 16 out of 35 submitted experiments</li> <li>bilingual: 5 out of 26 submitted experiments</li> <li>2 assessors</li> </ul>					
Assessors	monolingual: 16 out of 35 submitted experiments     bilingual: 5 out of 26 submitted experiments     assessors     Pool (DOI 10.2454/AH-PERSIAN-CLEF2009)					
Assessors	- monolingual: 16 out of 35 submitted experiments - bilingual: 5 out of 26 submitted experiments 2 assessors  n Pool (DOI 10.2454/AH-PERSIAN-CLEF2009) 23,536 pooled documents - 19,072 not relevant documents					
Assessors	- monolingual: 16 out of 35 submitted experiments - bilingual: 5 out of 26 submitted experiments 2 assessors  n Pool (DOI 10.2454/AH-PERSIAN-CLEF2009) 23,536 pooled documents - 19,072 not relevant documents - 4,464 relevant documents					
Assessors	- monolingual: 16 out of 35 submitted experiments - bilingual: 5 out of 26 submitted experiments  2 assessors  n Pool (DOI 10.2454/AH-PERSIAN-CLEF2009)  23,536 pooled documents - 19,072 not relevant documents - 4,464 relevant documents 50 topics  20 out of 20 submitted experiments					

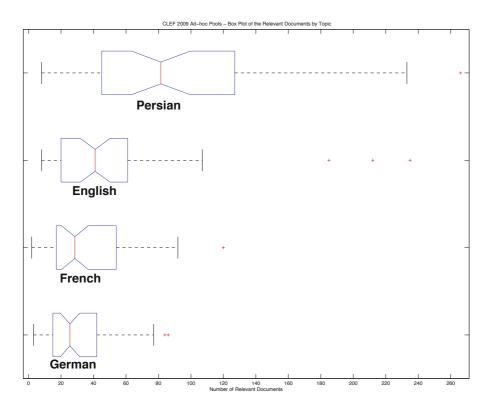
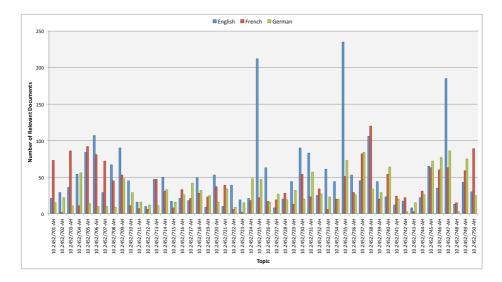


Fig. 3. Distribution of the relevant documents across the ad-hoc pools



 ${\bf Fig.\,4.}\ {\bf Comparison\ topic-by-topic\ over\ the\ number\ of\ relevant\ documents\ for\ the\ TEL\ pool$ 

The creation of topics with an even distribution of relevant documents across collections in different languages is very difficult and, in fact, not necessary. The goal is to ensure that each test collection is stable and that each topic finds an acceptable number of relevant docs for each collection (but the acceptable number can vary considerably - from few to very many for the same topic).

For the TEL documents, we judged for relevance only those documents that are written totally or partially in English, French and German, e.g. a catalog record written entirely in Hungarian was counted as not relevant as it was of no use to our hypothetical user; however, a catalog record with perhaps the title and a brief description in Hungarian, but with subject descriptors in French, German or English was judged for relevance as it could be potentially useful. Our assessors had no additional knowledge of the documents referred to by the catalog records (or surrogates) contained in the collection. They judged for relevance on the information contained in the records made available to the systems. This was a non trivial task due to the lack of information present in the documents. During the relevance assessment activity there was much consultation between the assessors for the three TEL collections in order to ensure that the same assessment criteria were adopted by everyone.

As shown in the box plot of Figure 3, the Persian distribution presents a greater number of relevant documents per topic with respect to the other distributions and is slightly asymmetric towards topics with a number of relevant documents. In addition, as can be seen from Table 1, it has been possible to sample all the experiments submitted for the Persian tasks. This means that there were fewer unique documents per run and this fact, together with the greater number of relevant documents per topic suggests either that all the systems were using similar approaches and retrieval algorithms or that the systems found the Persian topics quite easy.

The relevance assessment for the Persian results was done by the DBRG group in Tehran. Again, assessment was performed on a binary basis and the standard CLEF assessment rules were applied.

#### 2.4 Result Calculation

Evaluation campaigns such as TREC and CLEF are based on the belief that the effectiveness of *Information Retrieval Systems (IRSs)* can be objectively evaluated by an analysis of a representative set of sample search results. For this, effectiveness measures are calculated based on the results submitted by the participants and the relevance assessments. Popular measures usually adopted for exercises of this type are Recall and Precision. Details on how they are calculated for CLEF are given in [4].

The individual results for all official Ad-hoc TEL and Persian experiments in CLEF 2009 are given in the Appendices of the CLEF 2009 Working Notes [6,7]. You can also access online all the results, topics, experiment, and relevance judgements by logging into http://direct.dei.unipd.it/<sup>6</sup>

<sup>&</sup>lt;sup>6</sup> If you need an account to access the system, please send an e-mail to direct@dei.unipd.it.

Ad hoc TEL Participants						
Participant	Institution	Country				
aeb	Athens Univ. Economics & Business	Greece				
celi	CELI Research srl	Italy				
chemnitz	Chemnitz University of Technology	Germany				
cheshire	U.C.Berkeley	United States				
cuza	Alexandru Ioan Cuza University	Romania				
hit	HIT2Lab, Heilongjiang Inst. Tech.	China				
inesc	Tech. Univ. Lisbon	Portugal				
karlsruhe	Univ. Karlsruhe	Germany				
opentext	OpenText Corp.	Canada				
qazviniau	Islamic Azaz Univ. Qazvin	Iran				
trinity	Trinity Coll. Dublin	Ireland				
trinity-dcu	Trinity Coll. & DCU	Ireland				
weimar	Bauhaus Univ. Weimar	Germany				
Ad hoc Persian Participants						
Participant	Institution	Country				
.1 1	T 1 TT 1. TT .	TICLA				

Table 2. CLEF 2009 Ad hoc Participants

Participant	Institution	Country
jhu-apl	Johns Hopkins Univ.	USA
opentext	OpenText Corp.	Canada
qazviniau	Islamic Azaz Univ. Qazvin	Iran
unine	U.Neuchatel-Informatics	Switzerland

## 2.5 Participants and Experiments

As shown in Table 2, a total of 13 groups from 10 countries submitted official results for the TEL task, while just four groups participated in the Persian task.

A total of 231 runs were submitted with an average number of submitted runs per participant of 13.5 runs/participant.

Participants were required to submit at least one title+description ("TD") run per task in order to increase comparability between experiments. The large majority of runs (216 out of 231, 93.50%) used this combination of topic fields, 2 (0.80%) used all fields<sup>7</sup>, and 13 (5.6%) used the title field. All the experiments were conducted using automatic query construction. A breakdown into the separate tasks and topic languages is shown in Table 3.

Seven different topic languages were used in the ad hoc experiments. As always, the most popular language for queries was English, with German second. However, it must be noted that English topics were provided for both the TEL and the Persian tasks. It is thus hardly surprising that English is the most used language in which to formulate queries.

### 3 TEL@CLEF

The objective of this activity was to search and retrieve relevant items from collections of library catalog cards. The underlying aim was to identify the most

<sup>&</sup>lt;sup>7</sup> The narrative field was only offered for the Persian task.

Task	Chinese	English	Farsi	French	German	Greek	Italian	Runs	Part.
TEL Mono English	_	46	_	_	_	_	_	46	12
TEL Mono French	_	_	_	35	_	_	_	35	9
TEL Mono German	_	_	_	_	35	_	_	35	9
TEL Bili English	3	0	0	15	19	5	1	43	10
TEL Bili French	0	12	0	0	12	0	2	26	6
TEL Bili German	1	12	0	12	0	0	1	26	6
Mono Persian	_	_	17	_	_	_	_	17	4
Bili Persian	_	3	_	_	_	_	_	3	1
Total	4	73	17	62	66	5	4	231	_

**Table 3.** Number of experiments by task and topic language and number of participants per task

effective retrieval technologies for searching this type of very sparse multilingual data.

#### 3.1 Tasks

Two subtasks were offered which we called Monolingual and Bilingual. In both tasks, the aim was to retrieve documents relevant to the query. By monolingual we mean that the query is in the same language as the main language of the collection. By bilingual we mean that the query is in a different language to the main language of the collection. For example, in an EN  $\rightarrow$  FR run, relevant documents (bibliographic records) could be any document in the BNF collection (referred to as the French collection), in whatever language they are written. The same is true for a monolingual FR  $\rightarrow$  FR run - relevant documents from the BNF collection could actually also be in English or German, not just French.

Ten of the thirteen participating groups attempted a cross-language task; the most popular being with the British Library as the target collection. Six groups submitted experiments for all six possible official cross-language combinations. In addition, we had runs submitted to the BL target collection with queries in Greek, Chinese and Italian.

#### 3.2 Results

#### Monolingual Results

Table 4 shows the top five groups for each target collection, ordered by mean average precision. The table reports: the short name of the participating group; the mean average precision achieved by the experiment; the DOI of the experiment; and the performance difference between the first and the last participant. Figures 5, 7, and 9 compare the performances of the top participants of the TEL Monolingual tasks.

Track	Rank	Participant	Experiment DOI	MAP	
	1st	inesc	10.2415/AH-TEL-MONO-EN-CLEF2009.INESC.RUN11	40.84%	
English	2nd	chemnitz	10.2415/AH-TEL-MONO-EN-CLEF2009.CHEMNITZ.CUT_11_MONO_MERGED_EN_9_10	40.71%	
	3rd	trinity	10.2415/AH-TEL-MONO-EN-CLEF2009.TRINITY.TCDENRUN2	40.35%	
English	4th	hit	10.2415/AH-TEL-MONO-EN-CLEF2009.HIT.MTDD10T40	39.36%	
		trinity-dcu	10.2415/AH-TEL-MONO-EN-CLEF2009.TRINITY-DCU.TCDDCUEN3	36.96%	
	Differ	ence		10.50%	
	1st	karlsruhe	10.2415/AH-TEL-MONO-FR-CLEF2009.KARLSRUHE.INDEXBL	27.20%	
	2nd	chemnitz	10.2415/AH-TEL-MONO-FR-CLEF2009.CHEMNITZ.CUT_19_MONO_MERGED_FR_17_18	25.83%	
French	3rd	inesc	10.2415/AH-TEL-MONO-FR-CLEF2009.INESC.RUN12	25.11%	
riench	4th	opentext	10.2415/AH-TEL-MONO-FR-CLEF2009.OPENTEXT.OTFR09TDE	24.12%	
	5th	celi		$\frac{23.61\%}{15.20\%}$	
	Difference				
	1st	opentext	10.2415/AH-TEL-MONO-DE-CLEF2009.OPENTEXT.OTDE09TDE	28.68%	
German	2nd	chemnitz	10.2415/AH-TEL-MONO-DE-CLEF2009.CHEMNITZ.CUT_3_MONO_MERGED_DE_1_2	27.89%	
	3rd	inesc	10.2415/AH-TEL-MONO-DE-CLEF2009.INESC.RUN12	27.85%	
	4th	trinity-dcu	10.2415/AH-TEL-MONO-DE-CLEF2009.TRINITY-DCU.TCDDCUDE3	26.86%	
	5th	trinity	10.2415/AH-TEL-MONO-DE-CLEF2009.TRINITY.TCDDERUN1	25.77%	
	Differ	ence		11 30%	

Table 4. Best entries for the monolingual TEL tasks

### Bilingual Results

Table 5 shows the top five groups for each target collection, ordered by mean average precision. The table reports: the short name of the participating group; the mean average precision achieved by the experiment; the DOI of the experiment; and the performance difference between the first and the last participant. Figures 6, 8, and 10 compare the performances of the top participants of the TEL Bilingual tasks.

For bilingual retrieval evaluation, a common method is to compare results against monolingual baselines. We have the following results for CLEF 2009:

- $X \rightarrow EN: 99.07\%$  of best monolingual English IR system;
- $-X \rightarrow FR: 94.00\%$  of best monolingual French IR system;
- $X \rightarrow DE: 90.06\%$  of best monolingual German IR system.

These figures are very encouraging, especially when compared with the results for last year for the same TEL tasks:

- $-X \rightarrow EN: 90.99\%$  of best monolingual English IR system;
- $X \rightarrow FR: 56.63\%$  of best monolingual French IR system;
- $X \rightarrow DE: 53.15\%$  of best monolingual German IR system.

In particular, it can be seen that there is a considerable improvement in performance for French and German.

The monolingual performance figures for all three tasks are quite similar to those of last year but as these are not absolute values, no real conclusion can be drawn from this.

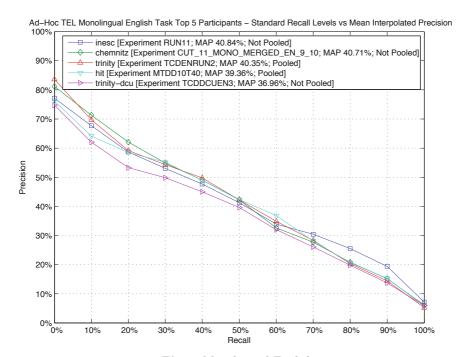


Fig. 5. Monolingual English

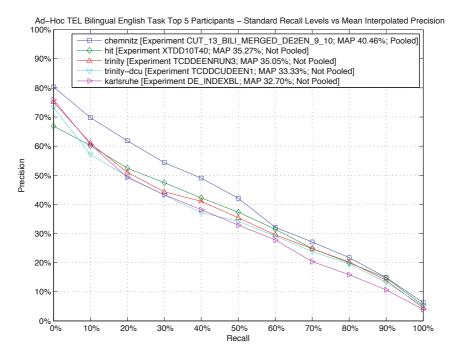


Fig. 6. Bilingual English

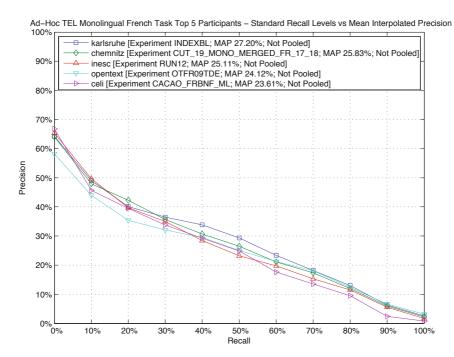


Fig. 7. Monolingual French

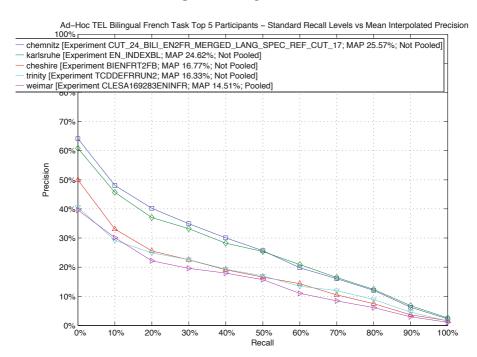


Fig. 8. Bilingual French

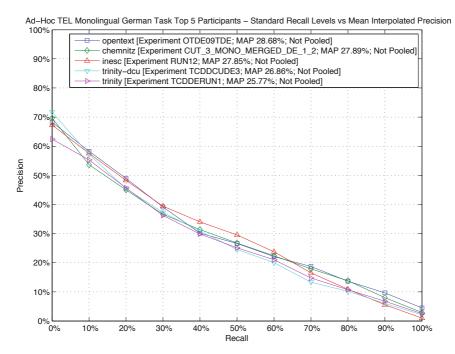


Fig. 9. Monolingual German

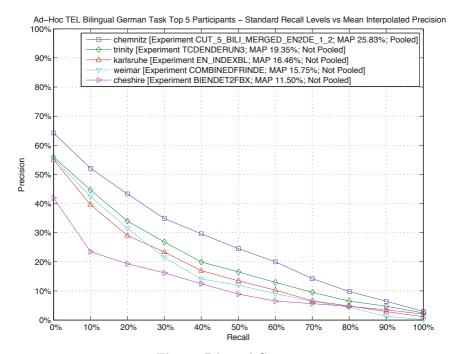


Fig. 10. Bilingual German

Track	Rank	Participant	Experiment DOI	MAP
	1st	chemnitz	10.2415/AH-TEL-BILI-X2EN-CLEF2009.CHEMNITZ.CUT_13_BILI_MERGED_DE2EN_9_10	40.46%
English	2nd	hit	10.2415/AH-TEL-BILI-X2EN-CLEF2009.HIT.XTDD10T40	35.27%
	3rd	trinity	10.2415/AH-TEL-BILI-X2EN-CLEF2009.TRINITY.TCDDEENRUN3	35.05%
Eligiisii	4th	trinity-dcu	10.2415/AH-TEL-BILI-X2EN-CLEF2009.TRINITY-DCU.TCDDCUDEEN1	33.33%
	5th	karlsrhue	10.2415/AH-TEL-BILI-X2EN-CLEF2009.KARLSRUHE.DE_INDEXBL	32.70%
	Differ	ence		23.73%
	1st	chemnitz	10.2415/AH-TEL-BILI-X2FR-CLEF2009.CHEMNITZ.CUT_24_BILI_EN2FR_MERGED_LANG_SPEC_REF_CUT_17	25.57%
	2nd	karlsrhue	10.2415/AH-TEL-BILI-X2FR-CLEF2009.KARLSRUHE.EN_INDEXBL	24.62%
French	3rd	chesire	10.2415/AH-TEL-BILI-X2FR-CLEF2009.CHESHIRE.BIENFRT2FB	16.77%
French	4th	trinity	10.2415/AH-TEL-BILI-X2FR-CLEF2009.TRINITY.TCDDEFRRUN2	16.33%
	5th	weimar	10.2415/AH-TEL-BILI-X2FR-CLEF2009.WEIMAR.CLESA169283ENINFR	14.51%
Difference				69.67%
	1st	chemnitz	10.2415/AH-TEL-BILI-X2DE-CLEF2009.CHEMNITZ.CUT_5_BILI_MERGED_EN2DE_1_2	25.83%
	2nd	trinity	10.2415/AH-TEL-BILI-X2DE-CLEF2009.TRINITY.TCDENDERUN3	19.35%
Cormon	3rd	karlsrhue	10.2415/AH-TEL-BILI-X2DE-CLEF2009.KARLSRUHE.EN_INDEXBL	16.46%
German	4th	weimar	10.2415/AH-TEL-BILI-X2DE-CLEF2009.WEIMAR.COMBINEDFRINDE	15.75%
	5th	chesire	10.2415/AH-TEL-BILI-X2DE-CLEF2009.CHESHIRE.BIENDET2FBX	11.50%
	Differ	ence		124 60%

Table 5. Best entries for the bilingual TEL tasks

### 3.3 Approaches

As stated in the introduction, the TEL task this year is a repetition of the task set last year. A main reason for this was to create a good reusable test collection with a sufficient number of topics; another reason was to see whether the experience gained and reported in the literature last year, and the opportunity to use last year's test collection as training data, would lead to differences in approaches and/or improvements in performance this year. Although we have exactly the same number of participants this year as last year, only five of the thirteen 2009 participants also participated in 2008. These are the groups tagged as Chemnitz, Cheshire, Karlsruhe, INESC and Opentext. The last two of these groups only tackled monolingual tasks. These groups all tend to appear in the top five for the various tasks. In the following we attempt to examine briefly the approaches adopted this year, focusing mainly on the cross-language experiments.

In the TEL task in CLEF 2008, we noted that all the traditional approaches to monolingual and cross language retrieval were attempted by the different groups. Retrieval methods included language models, vector-space and probabilistic approaches, and translation resources ranged from bilingual dictionaries, parallel and comparable corpora to on-line MT systems and Wikipedia. Groups often used a combination of more than one resource. What is immediately noticeable in 2009 is that, although similarly to last year a number of different retrieval models were tested, there is a far more uniform approach to the translation problem.

Five of the ten groups that attempted cross-language tasks used the Google Translate functionality, while a sixth used the LEC Power Translator [14]. Another group also used an MT system combining it with concept-based techniques

but did not disclose the name of the MT system used [17]. The remaining three groups used a bilingual term list [18], a combination of resources including on-line and in house developed dictionaries [24], and Wikipedia translation links [19]. It should be noted that four out of the five groups in the bilingual to English and bilingual to French tasks and three out of five for the bilingual to German task used Google Translate, either on its own or in combination with another technique. One group reported that topic translation using a statistical MT system resulted in about 70% of the mean average precision (MAP) achieved when using Google Translate [25]. Another group [11] found that the results obtained by simply translating the query into all the target languages via Google gave results that were comparable to a far more complex strategy known as Cross-Language Explicit Semantic Analysis, CL-ESA, where the library catalog records and the queries are represented in a multilingual concept space that is spanned by aligned Wikipedia articles. As, overall, the CLEF2009 results were significantly better than those of CLEF 2008, can we take this as meaning that Google is going to solve the cross-language translation resource quandary?

Taking a closer look at three groups that did consistently well in the cross-language tasks we find the following. The group that had the top result for each of the three tasks was Chemnitz [16]. They also had consistently good monolingual results. Not surprisingly, they appear to have a very strong IR engine, which uses various retrieval models and combines the results. They used Snowball stemmers for English and French and an n-gram stemmer for German. They were one of the few groups that tried to address the multilinguality of the target collections. They used the Google service to translate the topic from the source language to the four most common languages in the target collections, queried the four indexes and combined the results in a multilingual result set. They found that their approach combining multiple indexed collections worked quite well for French and German but was disappointing for English.

Another group with good performance, Karlsruhe [17], also attempted to tackle the multilinguality of the collections. Their approach was again based on multiple indexes for different languages with rank aggregation to combine the different partial results. They ran language detectors on the collections to identify the different languages contained and translated the topics to the languages recognized. They used Snowball stemmers to stem terms in ten main languages, fields in other languages were not preprocessed. Disappointingly, a baseline consisting of a single index without language classification and a topic translated only to the index language achieved similar or even better results. For the translation step, they combined MT with a concept-based retrieval strategy based on Explicit Semantic Analysis and using the Wikipedia database in English, French and German as concept space.

A third group that had quite good cross-language results for all three collections was Trinity [12]. However, their monolingual results were not so strong. They used a language modelling retrieval paradigm together with a document re-ranking method which they tried experimentally in the cross-language context. Significantly, they also used Google Translate. Judging from the fact that

they did not do so well in the monolingual tasks, this seems to be the probable secret of their success for cross-language.

Of the three groups that submitted monolingual only runs, the INESC group achieved a consistently good performance, with the best MAP for the English collection and the third best for both French and German targets. They experimented an N-gram stemming technique together with query expansion and multinomial language modelling [23]. The Cuza group participated in the monolingual English task, using Lucene and addressing the multilingual aspect of the TEL collections by translating the title fields of the English topics into French and German, again using the Google API [22]. The third group, Opentext, focussed their attention on testing the stability and reusability of the test collections as reported above, rather than on the performance of their own retrieval system [10].

#### 4 Persian@CLEF

This activity was again coordinated in collaboration with the Data Base Research Group (DBRG) of Tehran University. We were very disappointed that despite the fact that 14 groups registered for the CLEF 2009 Persian task, only four actually submitted results. And only one of these groups was from Iran. We suspect that one of the reasons for this was that the date for submission of results was not very convenient for the Iranian groups.

#### 4.1 Tasks

The activity was organised as a typical ad hoc text retrieval task on newspaper collections. Two tasks were offered: monolingual retrieval; cross-language retrieval (English queries to Persian target) and 50 topics were prepared (see section 2.2). For each topic, participants had to find relevant documents in the collection and submit the results in a ranked list. Table 3 provides a breakdown of the number of participants and runs submitted by task and topic language.

#### 4.2 Results

Table 6 shows the results for the two tasks, ordered by mean average precision. The table reports: the short name of the participating group; the mean average precision achieved by the experiment; the DOI of the experiment; and, where appropriate, the performance difference between the first and the last participant. Unfortunately, as can be seen in the table, something clearly went very wrong with the bilingual experiments and the results should probably be discounted.

Figure 11 compares the performances of the top participants of the Persian monolingual task.

Track	Rank	Participant	Experiment DOI	MAP
	1st	jhu-apl	10.2415/AH-PERSIAN-MONO-FA-CLEF2009.JHU-APL.JHUFASK41R400TD	49.38%
	2nd	unine	10.2415/AH-PERSIAN-MONO-FA-CLEF2009.UNINE.UNINEPE4	49.37%
Monolingual	3rd	opentext	10.2415/AH-PERSIAN-MONO-FA-CLEF2009.OPENTEXT.OTFA09TDE	39.53%
Wionomiguai	4th	qazviniau	10.2415/AH-PERSIAN-MONO-FA-CLEF2009.QAZVINIAU.IAUPERFA3	37.62%
	5th	_	_	-%
	Differ	ence		31.25%
	1st	qazviniau	10.2415/AH-PERSIAN-BILI-X2FA-CLEF2009.QAZVINIAU.IAUPEREN3	2.72%
	2nd	_	_	_
Bilingual	3rd	_	_	_
Dilliguai	4th	_	_	_
	5th	_	_	_
	Differ	ence		_

Table 6. Best entries for the Persian tasks

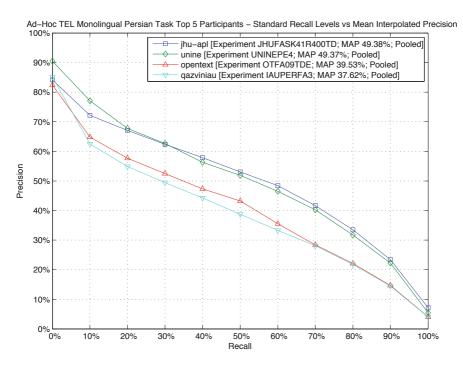


Fig. 11. Monolingual Persian

### 4.3 Approaches

As stated, only one group [20] attempted the bilingual task with the very poor results cited above. The technique they used was the same as that adopted for their bilingual to English experiments, exploiting Wikipedia translation links,

and the reason they give for the very poor performance here is that the coverage of Farsi in Wikipedia is still very scarce compared to that of many other languages.

In the monolingual Persian task, the top two groups had very similar performance figures. [26] found they had best results using a light suffix-stripping algorithm and by combining different indexing and searching strategies. In particular, they found that the use of blind query expansion could significantly improve retrieval effectiveness. Interestingly, their results this year do not confirm their findings for the same task last year when the use of stemming did not prove very effective [27]. The other group [15] tested variants of character n-gram tokenization; 4-grams, 5-grams, and skipgrams all provided about a 10% relative gain over plain words. The only Persian group focussed on testing a stemmer and light morphological analyser. Unlike [26] they found that blind relevance feedback hurt their precision [21].

An additional paper in these Proceedings, presents some post-campaign monolingual experiments [13]. These authors propose and test a variation of the vector space model which is based on phrases rather than single terms. They show a good precision for top-ranked documents when compared with other commonly used models.

### 5 Conclusions

In CLEF 2009 we deliberately repeated the TEL and Persian tasks offered in 2008 in order to build up our test collections. We are reasonably happy with the results for the TEL task: several groups worked on tackling the particular features of the TEL collections with varying success; evidence has been acquired on the effectiveness of a number of different IR strategies; there is a very strong indication of the validity of the Google Translate functionality.

On the other hand, the results for the Persian task were quite disappointing: very few groups participated; the results obtained are either in contradiction to those obtained previously and thus need further investigation [26] or tend to be a very straightforward repetition and confirmation of last year's results [15].

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Least but not last, we would warmly thank Giorgio Maria Di Nunzio for all his immense contribution in carrying out the TEL and Persian tasks.

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