

# The impact of battery degradation on Harvesting-based Wireless Sensor Devices

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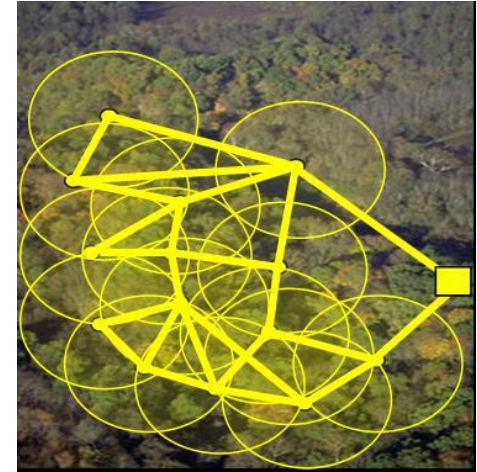
Bressanone, 5 July 2013

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Michele Zorzi

# Wireless sensor networks (WSNs)

- **Wireless Sensor Networks**

- Environmental monitoring
- Buildings surveillance
- Faults detection
- ...

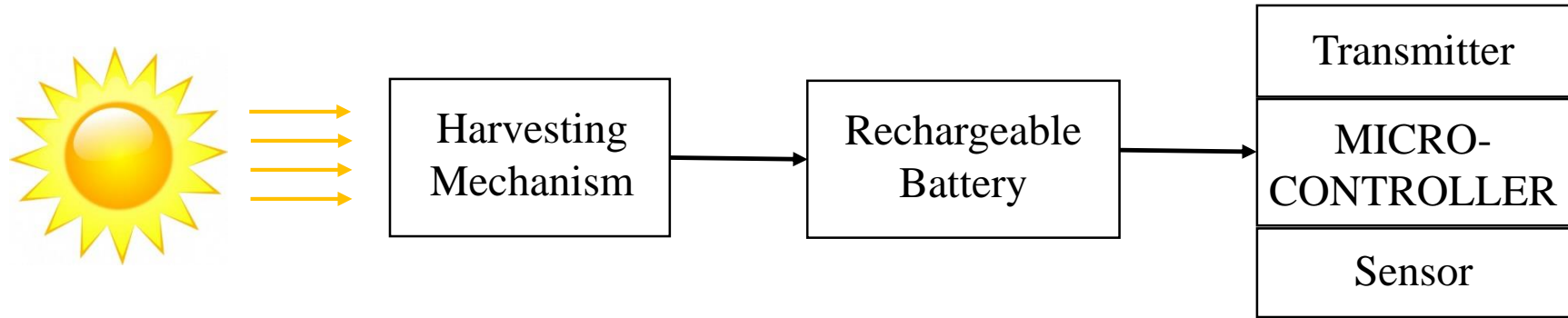


- **Requirement** : prolonged and unsupervised sensor operation over time

- Energy autonomy ?
- How to prolong the life of a sensor ?

# WSNs + Harvesting

- Wireless Sensor Networks + **Harvesting**

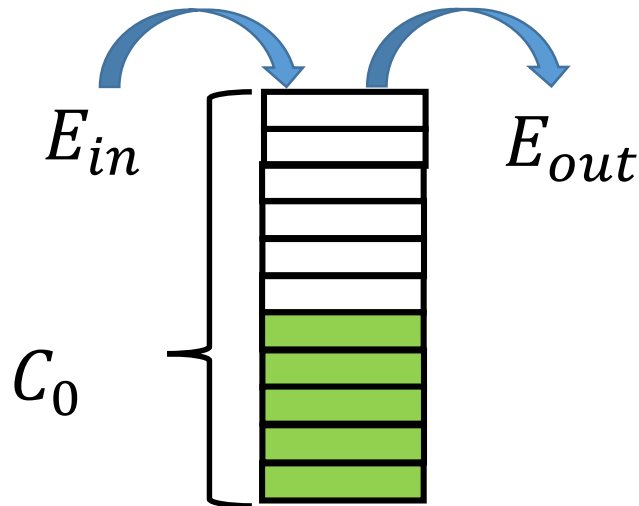


- Wireless Sensor Networks + Harvesting + **Energy Aware Policy**

Algorithms for the *management* of the energy buffer (no energy overflow, etc.) to provide a *stable operation* to guarantee some Quality of Service (QoS), e.g., throughput, network sum rate...

# Battery as a buffer

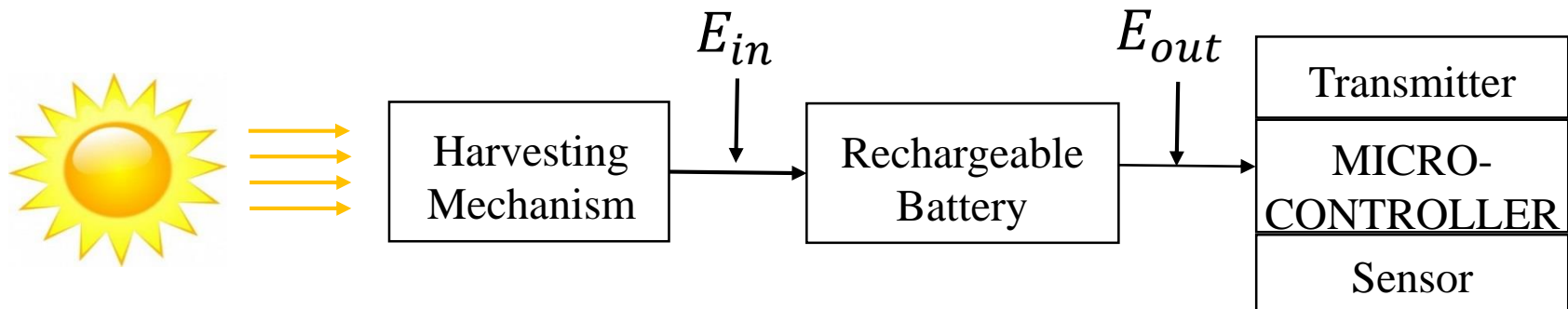
*Rechargeable batteries* are typically modeled by a *buffer*



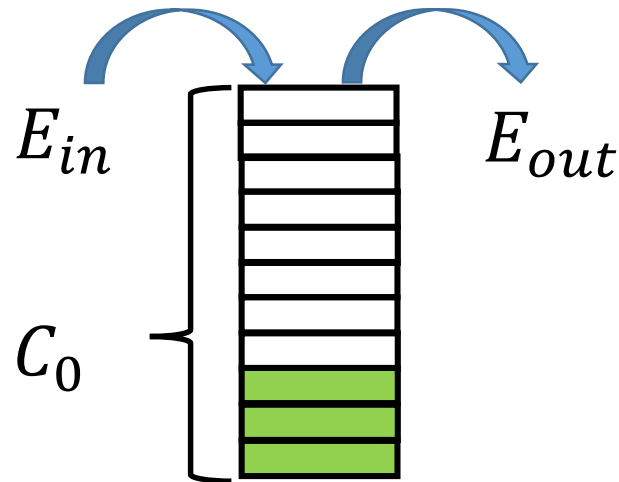
$E_{in}$  : energy supplied by the harvesting process

$E_{out}$  : energy required by the controller to perform communication, processing, sensing tasks

$C_0$  : nominal capacity of the battery



# Battery as a buffer



## Assumption

$C_0$  : is typically assumed **constant** over the time



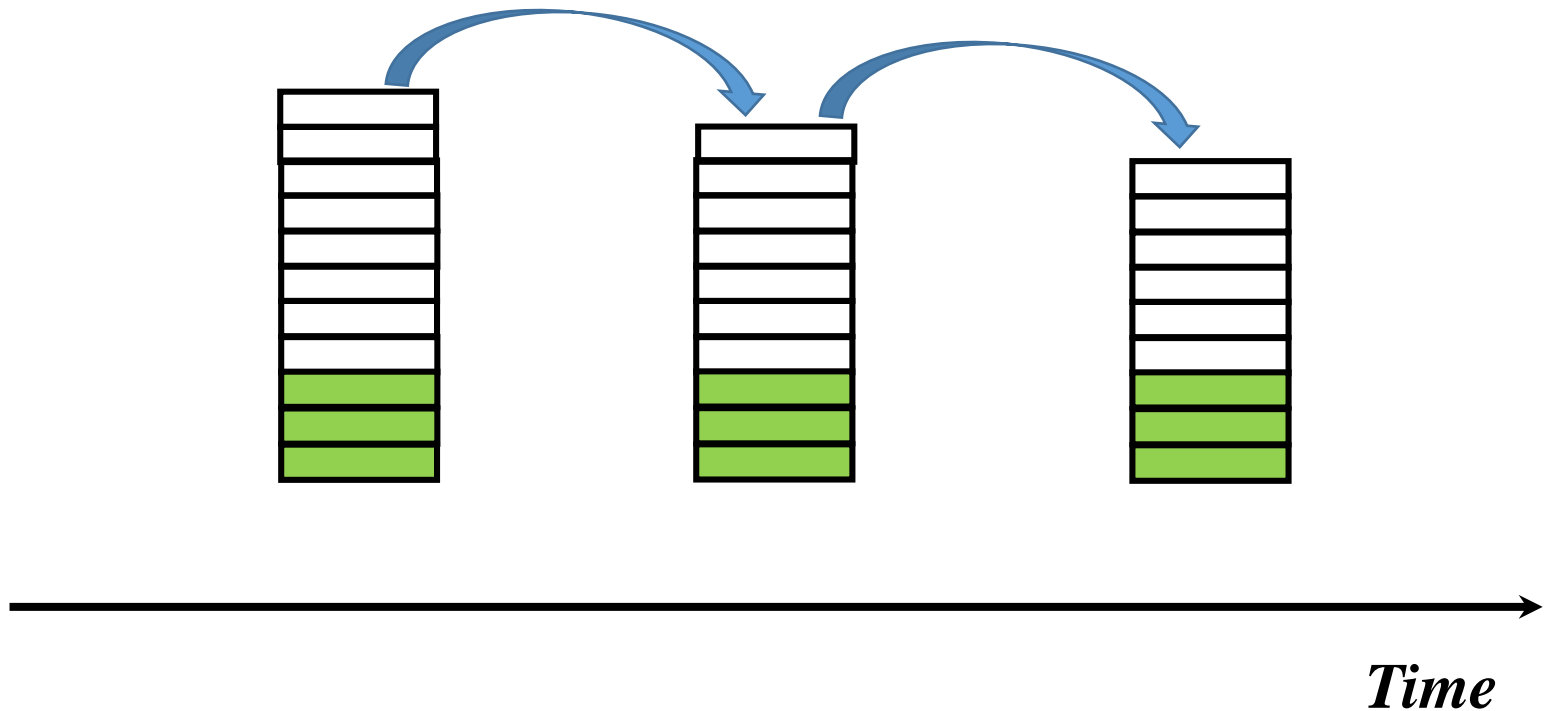
**ideal** and **perpetual** battery operation

*No consideration of battery **degradation issues** related to battery usage*

# Degradation effects

**Typical assumption** :  $C_0$  is constant over time

**TODAY** : degradation effects which cause  $C_0$  to diminish over time



# Degradation effects : how to use battery?

**TODAY** : degradation effects which cause  $C_0$  to dimish over time

↳ It depends on how the battery is used

## Main idea

The **deeper** the **discharge** of the battery

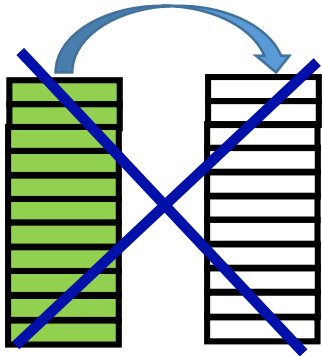


The **faster** the **degradation**

**Good**



**Bad**

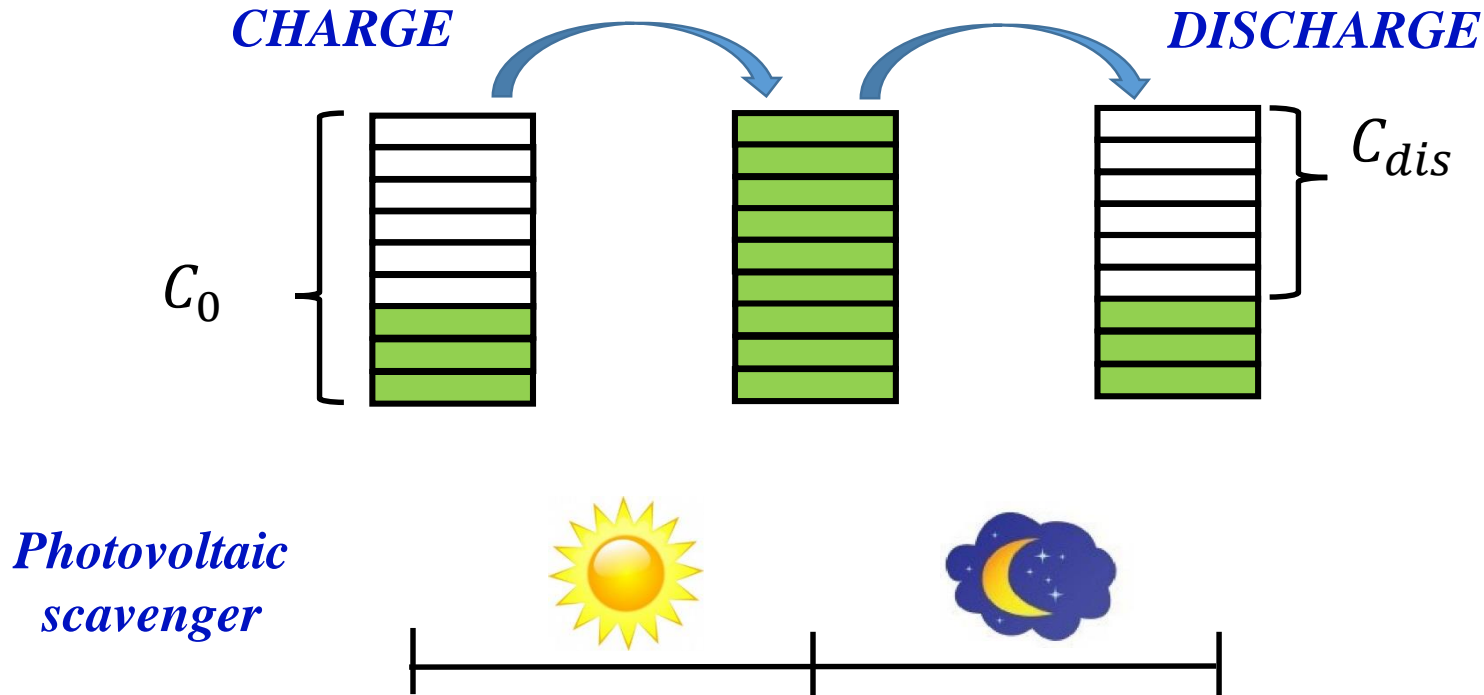


## Rule of thumb

- Frequent and shallow discharge periods
- No exploitation of the battery charge with deep discharge cycles

# Charge – discharge cycling

The charge/discharge process of the battery is called cycling



$$D = \frac{C_{dis}}{C_0}$$

*Depth of Discharge (DoD)*



# Charge delivered by a battery

## IDEAL SITUATION

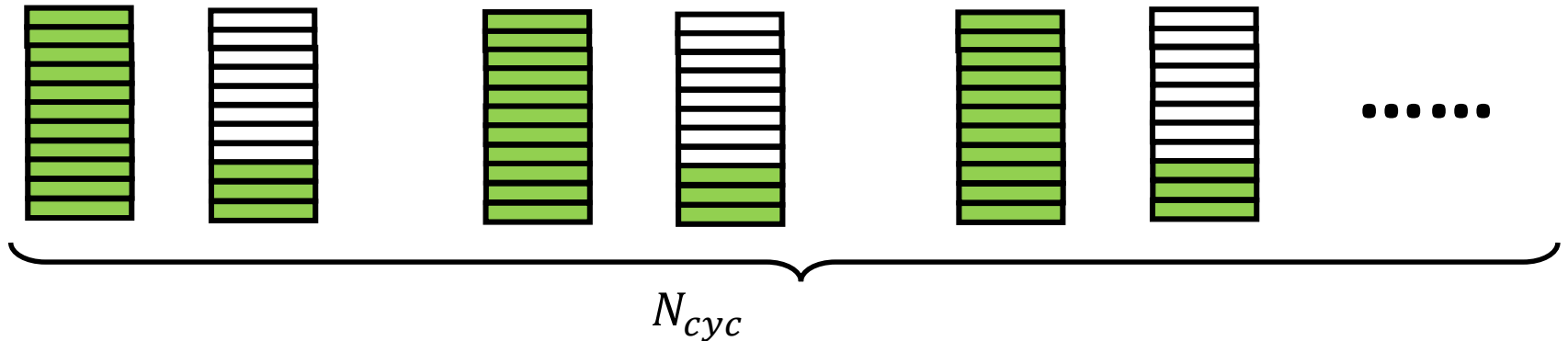
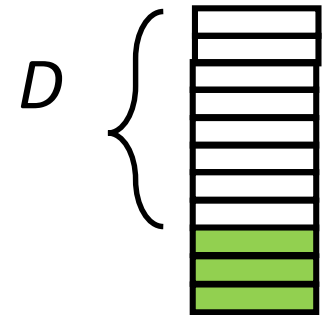


No degradation on  $C_0$



$$Q(N_{cyc}) = N_{cyc} C_0 D$$

$Q(N_{cyc})$ : Total charge delivered by the battery,  
after  $N_{cyc}$  cycles at  $D$



# Battery cycle life

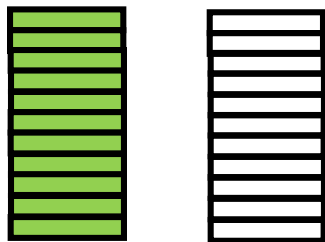
**TWO FACTS** complicate the ideal scenario

- 1) A rechargeable battery has a *finite cycle life*  
(degradation mechanisms reduce  $C_0$  to unrecoverable levels)

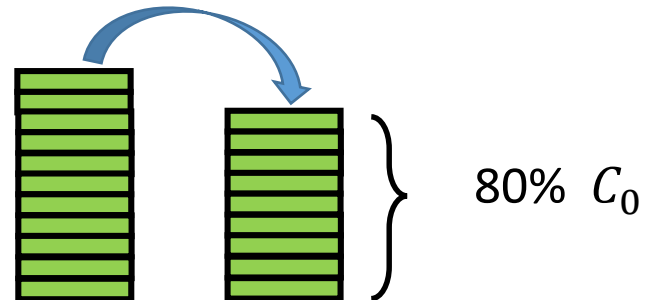


## Definition (battery cycle life)

Manufacturers typically define the battery cycle life  $N_{cyc}$  as the number of cycles a battery delivers at DoD  $D=1$  before  $C_0$  drops below a given threshold, e.g. 80% or 50% of the initial value.



$D=1$



# Battery cycle life

**TWO FACTS** complicate the ideal scenario

- 2) The degradation process strongly depends on *how the battery is cycled*  
(shallow DoDs result in a slower degradation of  $C_0$  )

**Example** : a microbattery rated with  $N_{cyc} = 100$  cycles at 100% DoD



may last up to  $N_{cyc} = 1000$  cycles at 20% DoD

*(data sheets of Li-Ion rechargeable batteries )*



*roughly twice the energy is extracted in this case*

# $N_{cyc}$ versus $D$ ( $DoD$ ) dependance

Simple heuristic model for the  $N_{cyc}$  vs  $D$  dependance

## Exponential model

$$N_{cyc}(D) = N_{cyc,0} e^{\alpha(1-D)}$$

- $N_{cyc,0}$  : represents the cycle life at 100%
  - $\alpha$ : characteristic constant of the battery
- 
- Exponential models have been proved to be a good fit from data of a rather wide range of battery chemistries and sizes
  - They may be taken also as representative for microbatteries targeted for low-power equipment

# Take home message

## Take Home message

The **deeper** the **discharge** of the battery

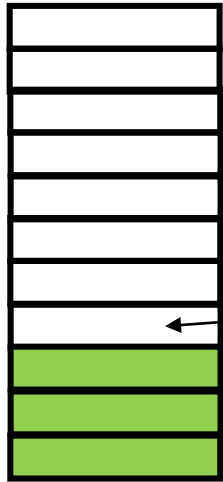


The **faster** the **degradation**

Exponential models opens up intriguing options for more advanced energy-aware policies

Stochastic Model  $\longrightarrow$  Markov Decision Process

# Battery model

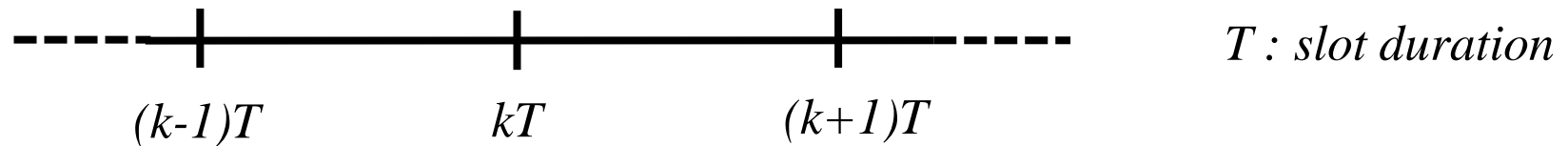


- $C_0$  : nominal capacity of the battery
- Battery is uniformly quantized to a number of charge levels  
 $\Delta c \ll C_0$  : quantization step
- $q_{max} = \left\lfloor \frac{C_0}{\Delta c} \right\rfloor$  : number of charge levels

Set of possible charge levels  $\{0, 1, \dots, q_{max}\}$

# System model

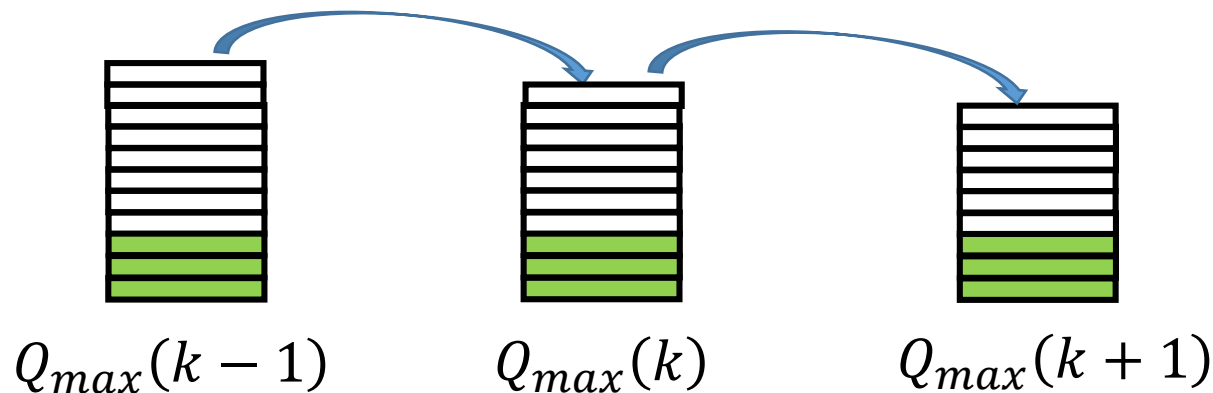
We consider a slotted-time system



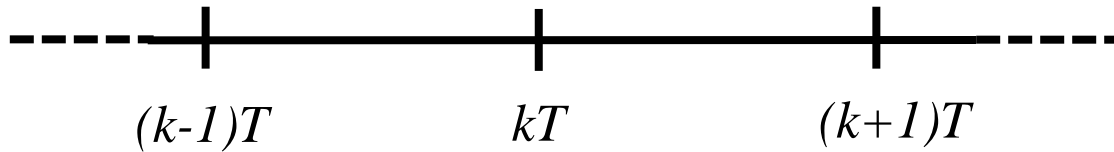
$C_0$  : **decreases over time**

$Q_{max}(k)$  : battery capacity at time  $k$

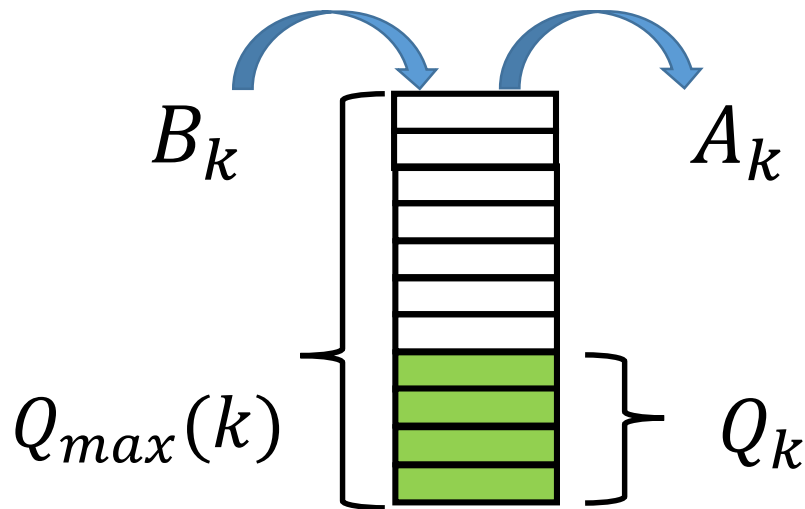
$$Q_{max}(k) \leq Q_{max}(k-1) \quad Q_{max}(0) = q_{max} = C_0$$



# System model



$T$  : slot duration



$Q_k$ : charge level of the battery

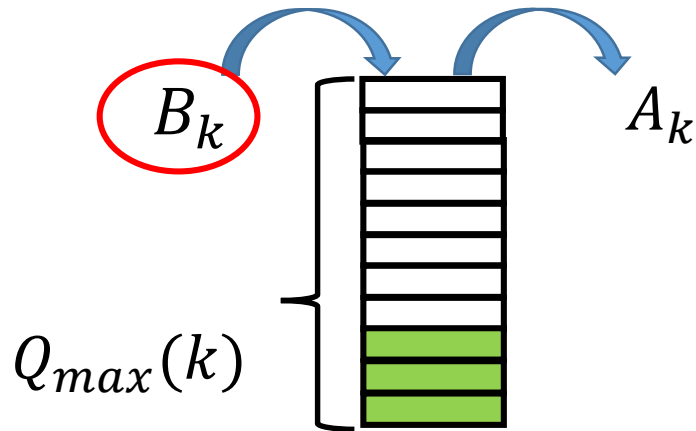
$B_k$ : energy from the harvesting process

$A_k$ : energy used for the action process

$$Q_{k+1} = \min\{ [Q_k - A_k]^+ + B_k, Q_{max}(k) \}$$



# System model



$B_k$ : harvesting process

$$B_k \in \{0, 1, \dots, B\}$$

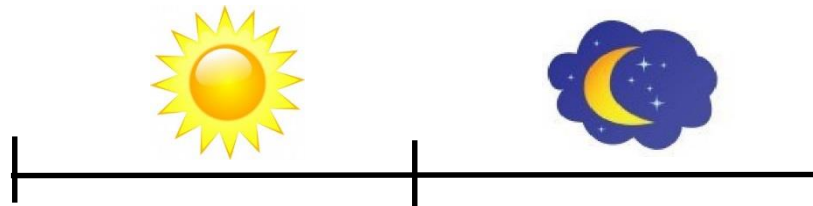
$B_k \rightarrow$  Markov process

We define an underlying energy harvesting process  $\{S_k\}$

$\{S_k\}$ : irreducible Markov Chain

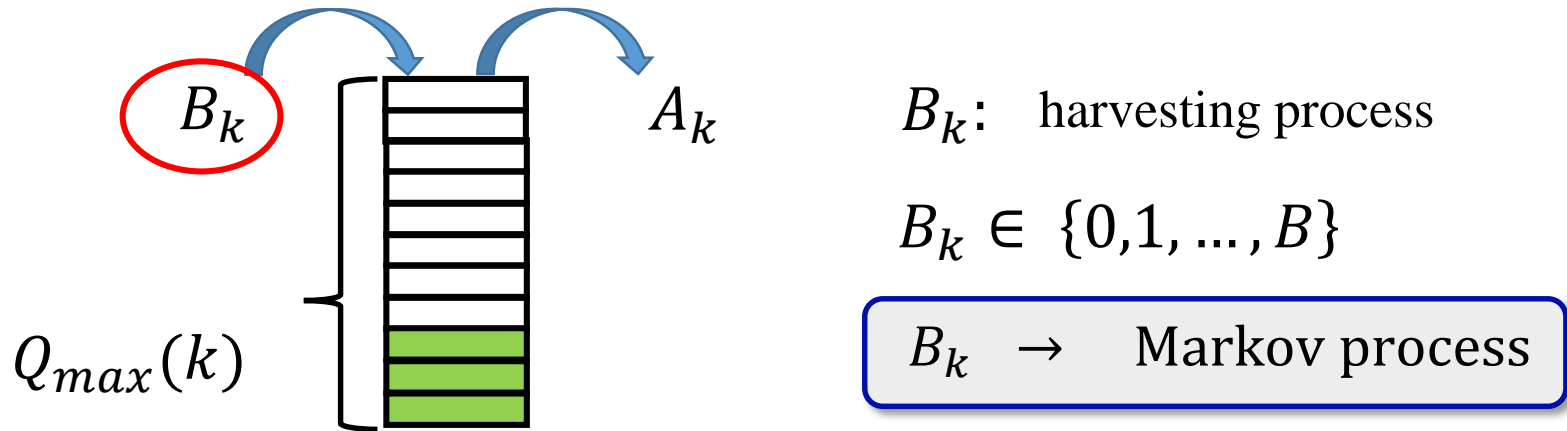
- $p_s(s_{k+1}|s_k) \triangleq \Pr(S_{k+1} = s_{k+1} | S_k = s_k)$
- $\pi_s(s)$  steady state distribution

*Photovoltaic  
scavenger*



$S_k = \{\text{day, night}\}$

# System model



We define an underlying energy harvesting process  $\{S_k\}$

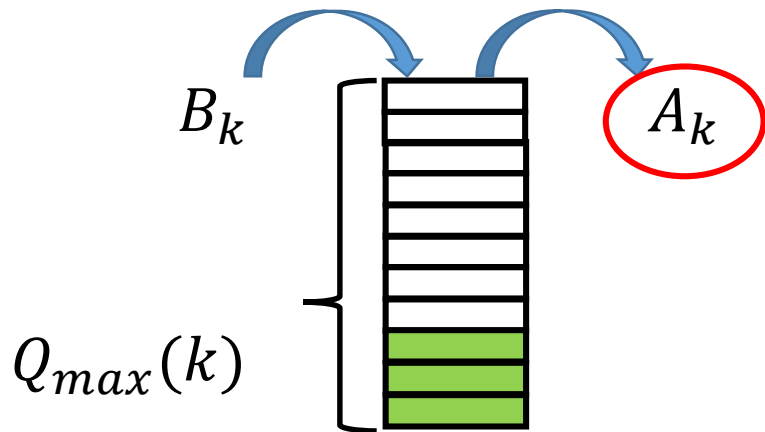
$\{S_k\}$ : irreducible Markov Chain

- $p_s(s_{k+1}|s_k) \triangleq \Pr(S_{k+1} = s_{k+1} | S_k = s_k)$
- $\pi_s(s)$  steady state distribution

Given  $S_k$ ,  $B_k$  is drawn according to the transition probabilities

$$p_B(b_k|s_k) \triangleq \Pr(B_k = b_k | S_k = s_k)$$

# System model



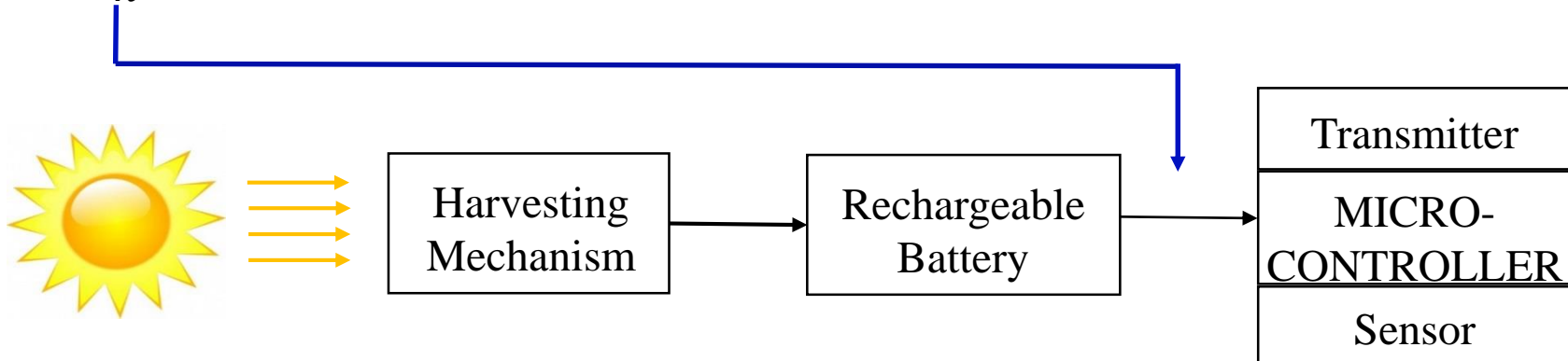
$A_k$ : *action process*

$$A_k \in \{A_{min}, \dots, A_{max}\} \cup \{0\}$$

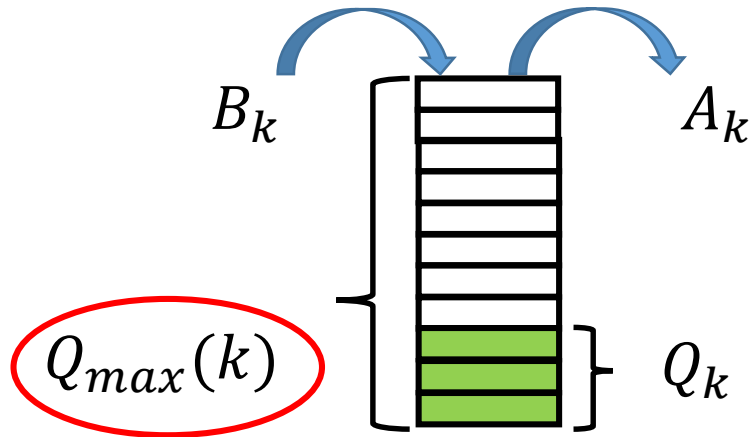
$A_{min}, A_{max}$  : max, min loads requirements

$A_k = 0$  idle state

$A_k$  is determined by the controller



# System model



$Q_{max}(k)$ : decreases over time

$H_k$ : *battery health state*

$H_k \in \{0, 1, \dots, H_{max}\}$

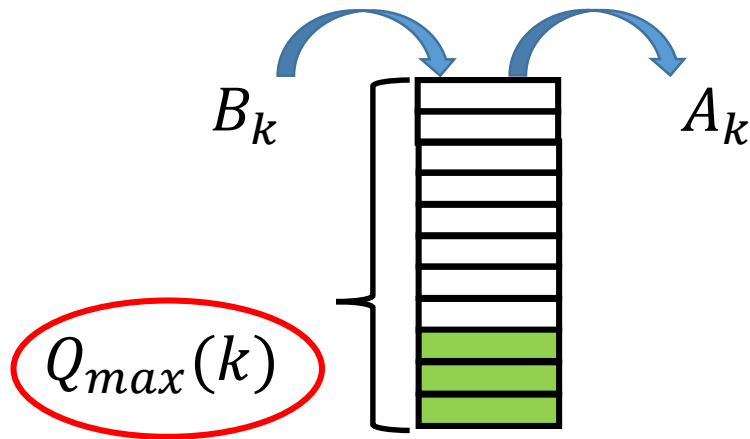
$H_k = H_{max}$  : **good health**

$H_k = 0$  : **bad health**

Given  $H_k \longrightarrow Q_{max}(k) = \left\lfloor \frac{H_k}{H_{max}} q_{max} \right\rfloor$

$$Q_k \in \{0, 1, \dots, Q_{max}(k)\}$$

# System model



$Q_{max}(k)$ : diminishes over time

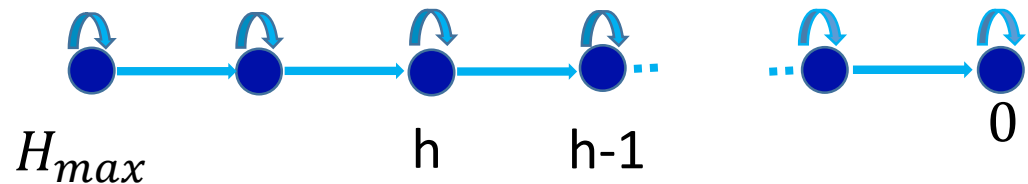
$H_k$ : *battery health state*

$H_k \in \{0, 1, \dots, H_{max}\}$

$H_k = H_{max}$  : **good health**

$H_k = 0$  : **bad health**

$H_k$  **Markov chain**  
(depending on  
q and h)




**Transition probabilities (from h to h-1)**

$$p_H(h; q) \triangleq \Pr( H_{k+1} = h - 1 \mid H_k = h, Q_k = q )$$

# System model

## Assumption

- $p_H(h; q) > 0, \quad \forall h \text{ and } q \in Q(h)$
  - $p_H(h; q) \ll 1, \quad \forall h \text{ and } q \in Q(h)$
  - $p_H(h_1; q_1) \geq p_H(h_2; q_2) \quad \forall h_2 \geq h_1, q_2 \geq q_1$
- 
- battery health state will reach  $H_k = 0$
  - degradation processes operate over time scales much longer than the cycling period and communication time-slot
  - the more the discharged and degraded the battery
-   
the faster the battery degradation process

# System model

$\mathbf{Z}_k = (Q_k, H_k, S_{k-1})$  : is the state

$Q_k$ : charge level

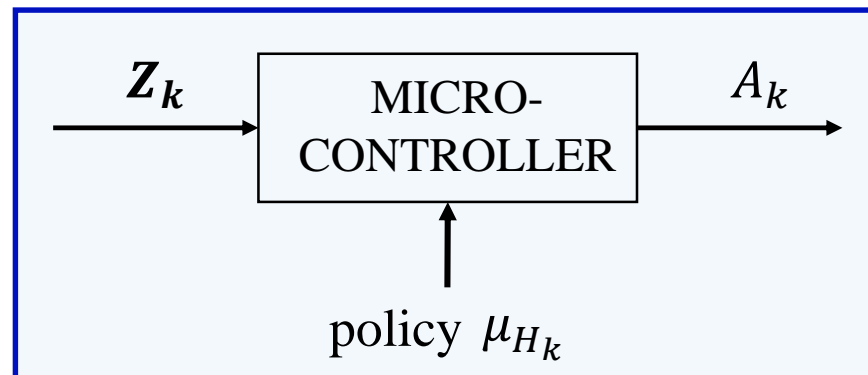
$H_k$ : health level

$S_{k-1}$ : harvesting state

→ It is assumed to be **known!**

Given  $\mathbf{Z}_k = (Q_k, H_k, S_{k-1})$  →

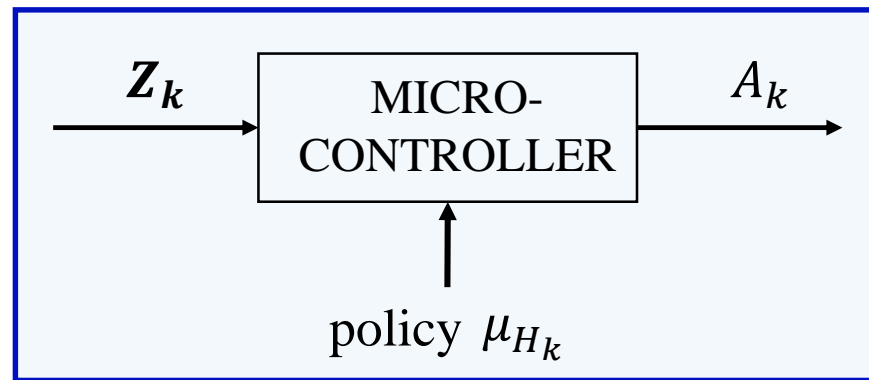
the controller determines the action  $A_k$   
according to a policy  $\mu_{H_k}$



# System model

Given  $\mathbf{Z}_k = (Q_k, H_k, S_{k-1}) \rightarrow$

the controller determines the action  $A_k$   
according to a policy  $\mu_{H_k}$



$\mu_{H_k}$  is a *probability measure* on the action space  $\{A_{min}, \dots, A_{max}\} \cup \{0\}$

$\mu_{H_k}$  : is parametrized by the state  $(Q_k, S_{k-1})$

$\mu_{H_k}(a; Q_k, S_{k-1})$  is the probability of requesting a charge quanta from the battery given  $(Q_k, S_{k-1})$



# Reward function

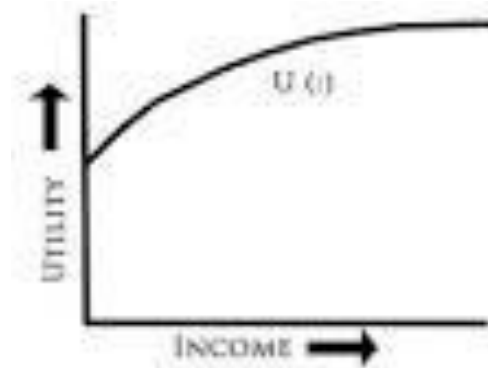
## Reward in time slot $k$

It is a function of

- The state  $\mathbf{Z}_k = (Q_k, H_k, S_{k-1})$
- The action  $\mathbf{A}_k$

$$g(A_k, Q_k) = \begin{cases} 0 & A_k > Q_k \\ g^*(A_k) & A_k \leq Q_k \end{cases}$$

$g^*$  is a concave function



# Hitting times of health state

## Hitting times (Health state)

$$K_h = \min\{k \geq 0: H_k = h\}$$

Denotes the time in which the health state transitions from the state  $h+1$  to the state  $h$



*$K_h$  is a random variable which depends on the realization of  $\{B_k, A_k, H_k\}$*

# Optimization functions

Total average reward at state  $h$

$$G_{\mu}^{tot}(h, \mathbf{Z}_0) = \mathbf{E} \left[ \sum_{k=K_h}^{K_{h-1}-1} g(A_k, Q_k) | \mathbf{Z}_0 \right]$$

The battery life at state  $h$

$$T_{\mu}(h, \mathbf{Z}_0) = \mathbf{E} [K_{h-1} - K_h | \mathbf{Z}_0]$$

Total average reward per time-slot

$$G_{\mu}(h, \mathbf{Z}_0) = \frac{G_{\mu}^{tot}(h, \mathbf{Z}_0)}{T_{\mu}(h, \mathbf{Z}_0)}$$

# Battery Lifetime

Let  $G^*$  be a minimum *Quality of Service requirement (QoS)*

**Constraint:**  $G_\mu(h, \mathbf{Z}_0) \geq G^*$

## Definition (Battery Lifetime)

$$T_\mu(G^*, \mathbf{Z}_0) = \sum_{h \geq h_\mu^*} T_\mu(h, \mathbf{Z}_0)$$

where  $h_\mu^*$  =  $\max \{ h : G_\mu(h, \mathbf{Z}_0) < G^* \} + 1$

the lowest health state in which the  
**Quality of service requirement** is met

# Optimization problem

Let  $G^*$  be a minimum *Quality of Service requirement (QoS)*

**Constraint:**  $G_\mu(h, \mathbf{Z}_0) \geq G^*$

## Optimization Problem

*To determine the optimal  $\mu^*$  such that the battery lifetime is maximized, under a given constraint on the minimum QoS  $G^*$*

$$\mu^* = \arg \max_{\mu} T_{\mu}(G^*, \mathbf{Z}_0) = \arg \max_{\mu} \sum_{h \geq h_{\mu}^*} T_{\mu}(h, \mathbf{Z}_0)$$

# Optimization problem : the solution

Recall the **Assumption** :

$$p_H(h; q) \ll 1, \quad \forall h \text{ and } q \in Q(h)$$



Time scale separation between the communication time slot and the battery degradation process



The micro-controller achieves a steady-state operation in each health state



The optimization problem can be decoupled into independent **Linear Program** problems on each health state

# Numerical results

We consider a battery with

$$q_{max} = 500$$

$$H_{max} = 50$$

The degradation probabilities can be extrapolated from manufacturer provided- data employing

$$N_{cyc}(D) = N_{cyc,0} e^{\alpha(1-D)}$$

**Good match**



$$p_H(q, h) = \gamma \exp \left\{ \alpha \left( 1 - \frac{q}{q_{max}} \right) \right\}$$

# Numerical results

**Energy harvesting process**  $S_k \in \{B, G\}$

$S_k = B \rightarrow B_k = 0$  (*No energy harvested*)

$S_k = G \rightarrow B_k = 20$  (*Constant energy harvested*)

Average energy harvested  $\rightarrow \bar{b} = 10$

**Action space**  $A_k \in \{0, \dots, 20\}$

**Reward function**  $g^*(A_k) = \log_2(1 + \sigma A_k / \bar{b})$



# Numerical results

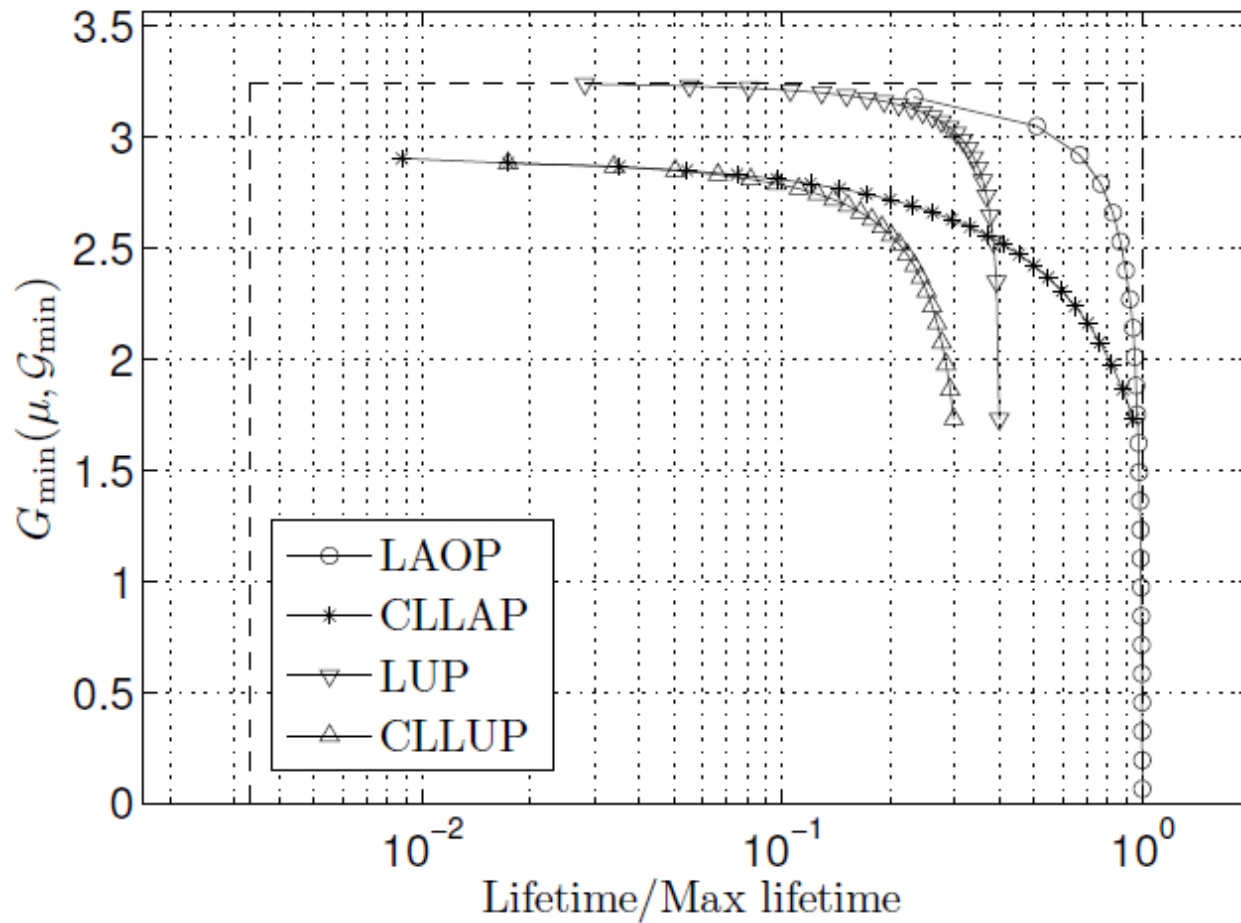
**Constant load lifetime Unaware policy (CLLUP)** : supports a constant load of  $\bar{b}$  charge quanta.

**Lifetime Unaware policy (LUP)** : greedily maximizes the average long-term reward for the actual value of the battery capacity without taking into account the impact of the policy on the battery lifetime.

**Lifetime Aware Optimal Policy (LAOP)** : is the optimal policy solution

**Constant load lifetime aware policy (CLLAP)** : supports a constant load  $\bar{b}$  when the battery charge level is above a given DoD, and remains idle otherwise

# Numerical results



**Minimum reward :** *average reward per slot that is guaranteed over the entire battery lifetime*

# Take home message

Degradation effects must be taken into account



Stochastic Model → Markov Decision Process